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January 18, 2001

BOX PATENT APPLICATION
Assistant Director For Patents
Washington, D.C. 20231

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addressed to BOX PATENT APPLICATION, Assistant Director for
Patents, Washington, DC 20231

Derrick T. Gordon

Name of Person Mailing Paper or Fee

Derrick T. Gordon

Signature

January 18, 2001

Date of Signature

Re: New U.S. Patent Application
OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED
DEVICE
By: Koujirou SEKINE, Mitsuru YOKOYAMA, Miyuki
TERAMOTO, Kyu TAKADA, Takuji HATANO, Shinji MARUYAMA,
and Tsuyoshi IWAMOTO
Attorney Docket: 15162/03060

Dear Sir:

Enclosed for filing are the following papers relating to
OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED DEVICE, Koujirou
SEKINE, Mitsuru YOKOYAMA, Miyuki TERAMOTO, Kyu TAKADA, Takuji
HATANO, Shinji MARUYAMA, and Tsuyoshi IWAMOTO, inventors:

- (1) Specification (27 pages, including 15 claims and an
abstract);
- (2) Unexecuted Declaration and Power of Attorney (12
pages);
- (3) Formal Drawings (15 sheets);

jc961 U.S. PRO
01/18/01

jc872 U.S. PRO
09/765229
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- (4) Transmittal letter (1 page) with PrintEFS Patent Application Bibliographic Data (3 pages);
- (5) Transmittal letter (1 page) with two Certified Copies of Priority Documents (19 pages and 40 pages); and
- (6) Information Disclosure Statement (3 pages) with attached PTO-1449 form (1 page) and copies of the cited references (16 references).

The amount of the filing fee is calculated below:

| | | | Fee for small entity | | | Fee for other than small entity | |
|------------------------------------|-----------|-------------|----------------------|-------|----|---------------------------------|-------|
| Fee | No. filed | No. extra * | Rate | Fee | OR | Rate | Fee |
| Basic fee | | | | \$355 | OR | | \$710 |
| Total claims | 15-20= | 0 | X \$9= | \$0 | OR | X \$18= | \$-0- |
| Independent claims | 4-3= | 1 | X \$40= | \$0 | OR | X \$80= | \$80 |
| Multiple Dependent Claim Presented | 0 | 0 | +\$135= | \$0 | OR | +\$270= | \$-0- |
| | | | Total | \$0 | OR | Total | \$790 |

*If the difference in Column 1 is less than zero, enter "0" in Column 2.

Please charge the required fee (\$790.00 for the application filing fee) to Sidley & Austin's Deposit Account No. 18-1260. If additional fees are due, please charge any fees during the pendency of this application (other than the issue fee) to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

This transmittal letter is being filed in duplicate.

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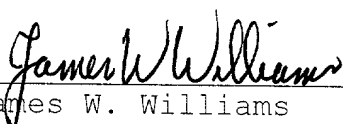
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All correspondence is to be directed to the address
associated with the customer number 24367.

Respectfully submitted,

By: 
James W. Williams
Registration No. 20,047
Attorney for Applicants

JWW/mhg
Enclosures

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